

PEP + Sanctions Screenings: Databases checked

Australian National Security Terrorism List **DFAT Australia Consolidated Sanctions** List

Austria Oesterreichische Nationalbank **OENB Historical Sanctions**

Austria Oesterreichische Nationalbank **OENB Sanctions**

Belgium Federal Public Service Finance

National Financial Sanctions

Bulgaria Domestic Sanctions under the

Council of Ministers Decision 265 of 2003

Canada OSFI Entity List

Canada OSFI Person List

Canadian Freezing Assets of Corrupt

Foreign Officials Act

Canadian Sanctions Justice for Victims of

Corrupt Foreign Officials Act

DFATD Canada Special Economic

Measures Act Designations

Public Safety Canada Listed Entities

China Ministry of Public Security Terrorist list

France Tresor Direction Generale Liste Unique de Gels

India Ministry of Home Affairs Banned **Organisations**

Indonesia PPATK List of Funders of

Proliferation of WMD Sanctions Indonesia PPATK List of Suspected

Terrorists and Terrorist Organizations

DTTOT Sanctions

Arab states Sanctions List against Qatar related individuals

Arab states Sanctions List against Qatar related organisations

EU External Action Service - Consolidated list of Sanctions

European Council List of Designated Vessels

European Council Restrictive Measures on Russian entities destabilising the situation in Ukraine Sanction Related Entities UN Consolidated - Related Entities (Suspended)

UN Liberia Sanctions

UN Security Council List of Designated Vessels

Iran March and May 2017 Sanctions against US entities

Ireland Organisations Sanction Laws

Israel Ministry of Defence

Japan METI Export Control Security

Division End User list

Ministry of Finance Japan Economic

sanctions list

Kenya Gazette Notices of Sanctions

Designations under the Prevention of

Terrorism Act

Latvia National Sanctions List Luxembourg Liste des Sanctions

Financieres Internationales

Malaysia Ministry of Home Affairs List of

Sanctioned Entities

Malaysia Ministry of Home Affairs List of

Sanctioned Individuals

Malta Financial Services Authority (MFSA)

National Sanctions

Monaco Ministerial Orders

Russian Sanctions Federal Security Service

List of Terrorist Organisations

Sanction Netherlands Domestic Terrorist

New Zealand Police Designated Terrorists Norway Lovdata laws judicial database Philippines AMLC Sanctions Resolutions **Qatar National Terrorist Designation Lists** Russian ROSFIN Monitoring List Extremism and Terrorism

1

Russian ROSFIN Monitoring WMD List

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Serbia Government Decisions on Terrorism related Sanctions Designations

Singapore MAS List

Singapore First Schedule of The Terrorism (Suppression of Financing Act)

Singapore First Schedule of the Terrorism

(Suppresion of Financing) Act 2 South Korea Ministry of Strategy and

Finance Sanctions on Iran and North

Korea

Swiss SECO List

Sri Lanka Ministry of Defence National Sanctions Designations under UN Regulations concerning terrorism Sri Lanka Ministry of Defence Sanctions Designations under UN Regulation concerning the proliferation of WMD Swiss Federal Council Freezing of Foreign **Illicit Assets**

TH-Thailand designated persons list Thailand Designated Persons List Ukraine State Financial Monitoring Black List

Ukraine Sanctions National Security and Defense Council (NSDC) Special Economic and Other Restrictive Measures -**Organisations**

Ukraine Sanctions National Security and Defense Council (NSDC) Special Economic and Other Restrictive Measures - Persons **UAE List of Designated Terrorist**

Organisations and Groups

HM Treasury Ukraine Sanctions * distinct from UK HM Treasury Office of Financial Sanctions Implementation Consolidated List

UK HM Treasury Office of Financial Sanctions Implementation Consolidated List * formerly called HM Treasury List **UK Home Office List of Proscribed Terrorist Organisations**

OFAC Consolidated List

OFAC SDN List

OFAC SDN List - Related Entities (

Suspended)

United States Coast Guard Prohibited

US Department of State Cuban Restricted **Entities List**

US Department of State Nonproliferation Sanctions List

US Department of State Terror Exclusion

Vietnam Sanctions Ministry of Public Security Terrorism List

Australia Autonomous Sanctions North

Korea Designated Vessels

Azerbaijan Financial Intelligence Unit

National Sanctions List

Belarus State Security Agency List of

Organizations and

Individuals Involved in Terrorist Activities Israel Ministry of Finance Sanctions List Kyrayzstan State Financial Intelligence Service National List of Sanctions

Russia Government Special Economic

Measures on Ukraine

Belgium Consolidated List of the National and European Sanctions

Argentina Ministerio de Relaciones Exteriores y Culto Sanciones de la ONU Pakistan National Counter Terrorism Authority List of Organizations Proscribed

by the Ministry of Interior

Tunisia Sanctions National list of persons, organizations and entities associated with terrorist offenses

Czech Republic Sanctions Government Order to implement specific international measures to combat terrorism

Romania Terrorism Related Sanctions List Norway Sanctions and Restrictive

Measures

Bangladesh Domestic Sanctions List Canada Regulations Implementing the **United Nations**

Resolutions on the Suppression of

Terrorism

Kazakhstan Committee on Legal Statistics and Special Records of the Prosecutor

2

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





General List of Terrorist and Extremist

Organizations

Belgium Argentina Pakistan Tunisia Czech Republic Romania Norway

Banaladesh

Turkey Financial Crimes Investigation

Board Terrorism Asset

South Korea Financial Services

Commission Transaction Ban

South Korea Ministry of Economy and

Finance Transaction Ban

Russia Central Bank Special Economic

Measures Designations

United Kingdom Jersey Proscribed

Terrorist Organizations

South Africa Targeted Financial Sanctions

List persons

South Africa Targeted Financial Sanctions

List organisations

Taiwan Ministry of Justice Investigation

Bureau Sanctions under Anti-terrorism Law Kyrgyzstan State Commission for Religious

Affairs Prohibited Organisations

Bahrain Terrorists List

Mexico Financial Intelligence Unit UN

Sanctions for terrorism and non-

proliferation

Finland National Bureau of Investigation

Asset Freeze List

United Arab Emirates National List of

Terrorist Individuals and Entities in Arabic

Kazakhstan Ministry of Finance

Organizations and Individuals

Associated with the Financing of Terrorism

and Extremism

Hong Kong Special Administrative Region

Sanctions issued under the UN Sanctions

Ordinance

Japan National Public Service Commission

International Terrorists List

Republic of Moldova National Terrorist List

South Korea Office for Government Policy Coordination North South Korea 108 Korea

Sanctions List

Warnings and Regulatory Enforcement

Asian Development Bank Sanctions

EU Most Wanted Warnings

European Commission Banned Airlines (

Suspended)

International International

European Commission Early Detection and

Exclusion System

Exclusions International

International Criminal Court Closed Cases

International Criminal Court Ongoing

Cases and Convictions

International IOSCO Warnings

International Organization of Securities

Commissions

Interpol Wanted

National Wanted Sites

United Nations Development Program

Ineligibility List Organisations

United Nations International Criminal

Tribunal for Rwanda

United Nations International Criminal

Tribunal for the former Yugoslavia

Judgement List

United Nations International Residual

Mechanism for Criminal Tribunals Fugitives

United Nations International Residual

Mechanism for Criminal Tribunals Ongoing

Cases

United Nations Office for Project Services

Vendor Sanctions

World Bank Star Asset Recovery Watch

World Bank Star Puppet Masters

World Bank Star Settlements

Botswana Most Wanted Criminals

Ghana Economic and Organized Crime

Office Wanted Persons

Ghana Police Service Most Wanted

Ghana Securities and Exchange

Commission Enforcement Actions

Kenya Capital Markets Authority

Investigations and Enforcement

Kenya Capital Markets Authority Wanted

Persons

Kenya National Police Service Most

Wanted

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Mauritius Independent Commission

Against Corruption

EFCC Nigeria Convictions

Nigeria Securities Exchange Commission

Warnings Nigeria EFCC South Africa Police Service

Mauritius Financial Services Commission

Investor Alerts

Nigeria Independent Corrupt Practices

and Other Related Offences Commission

Wanted

South Africa Financial Intelligence Centre

Sanctions South Africa Reserve Bank

Administrative Sanctions

South Africa Financial Sector Conduct

Authority Enforcement Actions

Brunei Most Wanted

China Banking Regulatory Commission

Administrative Penalties

Hong Kong Securities Futures Commission

Cold Shoulder Orders

China Central Bank Administrative

Penalties

Hong Kong Mandatory Provident Fund

Schemes Authority

Enforcement-Criminal Cases

Warnings China Economic Fugitives

China Hong Kong Securities and Futures

Commission Alert List

China Hong Kong SFC Warnings List

Hong Kong Companies Registry

Prosecution Cases

Hong Kong Mandatory Provident Fund

Schemes Authority Enforcement News

Hong Kong Mandatory Provident Fund Schemes Authority Enforcement-

Repeated Convictions

Hong Kong Mandatory Provident Fund

Schemes Authority Enforcement-Civil

Hong Kong Monetary Authority

Hong Kong Most Wanted

Hong Kong Police Force - Wanted Persons

with Reward Notices

Hong Kong Securities Futures Commission

Hong Kong Securities Futures Commission

Disqualification Orders

Hong Kong Securities Futures Commission

Enforcement Actions

Hong Kong Securities Futures Commission

Enforcement Actions

Hong Kong Securities Futures Commission

People Subject to Arrest Warrants

Hong Kong Stock Exchange Enforcement

Notices Organisations

China Insurance Regulatory Commission

Administrative Penalties

Hong Kong Stock Exchange Enforcement

Notices Persons

China Securities Regulatory Commission

Warninas

China Securities Regulatory Commission

Suspended Advisories

China Securities Regulatory Commission

Administrative

Enforcement Decisions Organisations

China State Administration of Foreign

Exchange Circulars on

China India India India India

China Securities Regulatory Commission

Administrative

Enforcement Decisions Persons

China State Administration of Foreign

Exchange Circulars on

Violations Archive

INDIA CBI Red Yellow List

INDIA CBI Reward List

INDIA CBI Wanted List

India Competition Commission

Warnings India NIA Most Wanted

Indonesia BAPPEBTI Revoked Futures

Broker List

Indonesia Criminal Investigation Police

Most Wanted

Indonesia Financial Services Authority

Unlicensed Entities

Japan Aichi Designated Gangsters

Japan Financial Services Agency list of

Unregistered financial trading companies

Malaysia National Bank Wanted Persons

4

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Malaysia Securities Commission Criminal

Prosecutions

Malaysia Securities Commission

Unauthorized Entities

Malaysia Securities Commission Wanted

Persons

Malaysia Malaysia Malaysia Malaysia

Japan FSA

Japan FSA Illegal Financial Companies

Japan FSA Institutional Investors Warnings

Japan JPX

Japan Malicious Money Lenders

Japan MEXT Exclusions Japan Police Most Wanted

Japan Shizuoka Designated Gangsters

Bank Negara Malaysia Enforcement

Actions

National Bank of Kazakhstan

Bank Negara Malaysia Enforcement

Actions Companies Warning

Bank Negara Malaysia Enforcement

Actions Invalid Licences

Malaysia Royal Police Wanted Persons Malaysia Anti-Corruption Commission

Corruption Offenders

Republic of Korea National Police Agency

Wanted

Tajikistan Ministry of Internal Affairs

Wanted

Thailand Securities and Exchange

Commission

Viet Nam State Securities Commission of

Vietnam Enforcements

South Korea Tajikistan Thailand Viet Nam

Securities Commission Malaysia

Administrative Actions

Securities Commission Malaysia

Compounded Cases

Securities Commission Malaysia

Enforcement Actions Sanctions

Enforcements Persons

Philippines Drug Enforcement Group Most

Wanted

Philippines Most Wanted Violent Crimes

Philippines National Police Most Wanted

Philippines Securities and Exchange

Commission

Singapore ACRA PAOC Orders issued after

audit

Singapore ACRA PAOC Suspensions

Singapore Corrupt Practices Investigation

Bureau Corruption

Singapore Competition Consumer

Commission Anticompetitive

Enforcements Companies

Singapore Corrupt Practices Investigation

Bureau Corruption

Singapore Inland Revenue Authority Tax

Crimes Companies

Singapore Inland Revenue Authority Tax

Crimes Persons

Singapore Ministry of Home Affairs

Enforcements under Internal Security Act

Singapore Ministry of Manpower

Convicted Employers

Singapore Monetary Authority Singapore Monetary Authority **Enforcement Actions Companies**

Singapore Monetary Authority **Enforcement Actions Persons**

Singapore Police Force Commercial

Crimes

Singapore Registry of Moneylenders Fined

Moneylenders

Singapore SGX Directors and Executives

Watchlist

Singapore SGX Public Disciplinary Actions

Companies

Singapore SGX Public Disciplinary Actions

Persons

Singapore Casino Regulatory Authority

Enforcement Actions

Republic of Korea Financial Supervisory

Service Investor Alert

Viet Nam State Securities Commission of Vietnam Administrative Violations person Viet Nam State Securities Commission of

5

Vietnam Administrative Violations

organisation

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml



@artaml_ **[**]



Thailand Securities and Exchange Commission Enforcement Thailand National Anti Corruption Commission Cases referred to Thailand Securities and Exchange **Commission Warnings** India Ministry of Corporate Affairs List of Disgualified Directors Section 164 **Banaalore** India University Grants Commission Fake

Universities

India Ministry of Social Justice and **Empowerment Deblacklisted NGOs** India Income Tax Department Tax **Defaulters**

India National Stock Exchange Defaulter and Expelled Members

India Financial Intelligence Unit Non

Compliant NBFC List

India Financial Intelligence Unit Orders India National Investigation Agency

Arrested Persons in Custody India Financial Intelligence Unit **Judgements**

Israel Ministry of Communications

Financial Penalties

Japan Public Security Intelligence Agency **International Terror Organisations** Japan Ministry of Land, Infrastructure and

Transport Exclusions

Japan Agricultural And Rural

Development Information Center Exclusion List

Japan Railway Construction, Transport and Technology Agency Exclusion List Kazakhstan Prosecutor General's Office Wanted Persons

Philippines Deposit Insurance Corporation **Bulletins**

Philippines Central Bank Prohibitions and Revocations

Russian Federation Federal Bailiff Service **Most Wanted**

Armenia Central Bank Punishments

Armenia Financial Monitoring Center Court Verdicts

Armenia Police Wanted Persons India Wildlife Crime Control Bureau Court

Judgements

India Wildlife Crime Control Bureau Convicts

Russian Federation Ministry of Justice Suspended Charities under Federal Law Countering Extremist Activities

Russian Federation Russian Gazette Most Wanted Drug Dealers

Russian Federation Federal Penitentiary

Service Most Wanted

India Ministry of Corporate Affairs List of **Disqualified Directors Section 274**

India Ministry of Corporate Affairs Multilevel Marketing

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Chennai India Ministry of Corporate Affairs List of Disgualified Directors Section 164

Chandigarh

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Kanpur India Ministry of Social Justice and

Empowerment Blacklisted

Taiwan Securities and Futures Investors **Protection Center Class**

Russian Federation Ministry of Justice List of Undesirable Foreign and International Non-Governmental Organizations

Taiwan Financial Supervisory Committee **Banking Bureau Enforcement Actions** Taiwan Financial Supervisory Committee **Insurance Bureau Enforcement Actions** Taiwan Ministry of Justice Investigation **Bureau Wanted Persons Action Cases** Trafficking List

Kyrayzstan Financial Police Wanted Kyrgyzstan State Committee of National Security Wanted

6

Georgia State Procurement Agency Blacklist

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Pakistan Central Depository Company

Disciplinary Registers

Kyrgyzstan State Penitentiary Service

Wanted

Kyrgyzstan State Personnel Service

Disqualifications

Kyrgyzstan General Prosecutor

Convictions

India Ministry of Corporate Affairs

Vanishing Companies

India Ministry of Corporate Affairs Struck

Off Companies

Japan National Police Agency Designated

Boryokudan

Azerbaijan General Directorate for

Combating Trafficking Human India Insurance Regulatory and Development Authority of India

Uzbekistan Ministry of Internal Affairs

Wanted

Uzbekistan Main Department of Internal

Affairs of Tashkent

Hong Kong Monetary Authority

Disciplinary Actions

South Korea Financial Supervisory Service

Administrative

Bangladesh Securities and Exchange

Commission Enforcement

South Korea Financial Supervisory Service

Accounting Supervision

Russian Federation Moscow Stock **Exchange Violations of Disclosure**

Requirements

Russian Federation Investigative

Committee Criminal Cases related to

Prohibited Warfare in Ukraine

Russian Federation State Assay Office

Results of AML

Russian Federation Central Bank Stocks

and Bonds Market

Cancelled Licenses of Professional Securities Market Participants China Shanghai Stock Exchange

Disciplinary Actions

Russian Federation Central Bank Stocks

and Bonds Market

Suspended Licenses of Professional

Securities Market

United Kingdom Anguilla Investor Alerts

United Kingdom Anguilla Unlicensed

Entities

Warnings Belize Warning Notices

Costa Rica Superintendencia General De

Jamaica Constabulary Force Most Wanted

Russian Federation Central Bank Stocks

and Bonds Market Cancelled certificates

of financial market specialists

Russian Federation Central Bank Revoked

Insider Certificates

Russian Federation Central Bank Non-

credit financial

Organizations AML Reporting Breaches

Russian Federation Federal Tax Service

Entities offering illegal

Russian Federation Federal Tax Service

Entities offering illegal

Russian Federation Central Bank

Administrative Proceedings

Armenia Police Wanted

Bahamas Central Bank

Bahamas Securities Commission

Enforcements

United Kingdom Cayman Islands

Monetary Authority Enforcement

Trinidad and Tobago Financial Intelligence

Unit Charges for

Albania State Police Wanted

Austria Bundesministerium für Innern Most

Wanted

Austria Bundesministerium für Innren

Wanted

Austria Financial Market Authority

Sanctions

Austria FMA Investors Warnings List Austria Ministry of Finance Fictitious

Companies

Belgium Competition Authority Decisions

7

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml



@artaml_ **[1**



Belaium Federal Police Most Wanted

Convicted Fugitives

Belgium Federal Police Wanted

Belgium FSMA Warnings

Trinidad and Tobago Financial Intelligence

Unit Court Orders

Trinidad and Tobago Securities and

Exchange Commission

Trinidad and Tobago Securities and

Exchange Commission

Trinidad and Tobago Investor Alerts

Trinidad and Tobago Financial Intelligence

Unit Charges for

Bosnia and Herzegovina Federal Police

Wanted

Bosnia and Herzegovina Ministry of Interior of Sarajevo Canton Wanted

Bulgaria Ministry of Interior Wanted

Bulgaria Financial Supervision Commission

Notifications

Bulgaria Financial Supervision Commission

Trading Suspensions and Delistings

Bulgaria National Bank Administrative

Penalties

Bulgaria Commission for Combating

Corruption and Withdrawal of Criminal

Assets Decisions of non-compliance

Bulgaria Commission for Protection of

Competition Penalties

Croatia National Police Most Wanted

Croatia Financial Services Supervisory

Agency Warnings

Croatia Financial Services Supervisory

Agency Penalty Decisions

Croatia Competition Agency Decisions

Cyprus Betting Authority Warnings

Cyprus Central Bank Administrative

Measures

Cyprus Consumer Protection Service

Administrative Decisions

Cyprus Police Wanted

Cyprus Securities and Exchange

Commission

Czech Republic Office for the Protection of

Competition

Czech National Bank Warnings Denmark Finanstilsynet Warnings

Estonia Internal Security Service Judicial

Decisions

Estonia Police Most Wanted Persons

Finland Financial Supervisory Authority

Warnings from Foreign Regulators

Estonia FinantsInspektsioon Warnings

FIN-FSA Finanssivalvonta

Finansinspektionen

France AMF Crypto Assets Websites

Blacklist

France AMF Forex Blacklist

France AMF High Value Goods Non-

Authorised Websites Blacklist

France AMF non-authorized binary options

websites blacklist

France AMF Sanctions Decisions

France AMF Warninas

France Prudential Supervision and

Resolution Authority Disciplinary Actions

Germany Bundesamt für

Verfassungsschutz Prohibited Foreigner

Extremist Organisations

Germany Bundesamt für

Verfassungsschutz Prohibited Islamist

Organisations

Germany Bundesamt für

Verfassungsschutz Prohibited

Rightwing Extremist Organisations

Germany Bundesanstalt fur

Finanzdienstleistungsaufsicht

Administrative Measures

Germany Bundesanstalt fur

Finanzdienstleistungsaufsicht

Unauthorized Businesses

Germany Bundesanstalt fur

Finanzdienstleistungsaufsicht

Unauthorized Businesses 2

Germany Bundeskriminalamt Wanted

Persons

Germany State Internal Ministries

Prohibited Rightwing Extremist

Organisations

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Greece Hellenic Capital Market

Commission

Hungary National Bank Warnings

Hungary Police Most Wanted

Ireland Central Bank Enforcement Actions

Ireland Central Bank Warnings

Ireland Revenue Commissioners Cash

seizures

Ireland Revenue Commissioners

Prosecutions

Italy Banca D Italia Sanctions

Italy Central Bank Unauthorized Entities

Italy Central Directorate of the Criminal

Police Most Wanted

Italy Italian Companies and Exchange

Commission Warnings

Kosovo Police Wanted Criminals

Latvia Competition Council Decisions

Latvia Financial and Capital Market Commission Penalties Applied for AML

Breaches

Latvia Financial and Capital Market

Commission Warnings

Lithuania Central Bank Non-licensed

entities

Lithuania Central Bank Websites offering

illegal financial services

Lithuania Police Most Wanted

Luxembourg Commission de Surveillance

du Secteur Financier

Luxembourg CSSF Administrative

Sanctions

Luxembourg Most Wanted

Malta Financial Intelligence Unit

Malta Financial Intelligence Unit

Administrative Penalties

Malta Financial Services Authority

Administrative Penalties

Malta Financial Services Authority

Warnings

Malta Gaming Authority Unauthorised

Websites

Netherlands Authority for the Financial

Markets Warnings

Netherlands Authority for the Financial Markets Warnings from other regulators

Netherlands Central Bank Enforcements

and Administrative Sanctions

Netherlands Centrale Bank von Aruba

Warninas

Netherlands Police Most Wanted

Netherlands Gamina Authority

Enforcement Decisions

Netherlands Authority for Consumers and

Markets Warnings

Netherlands Authority for Financial

Markets Measures Imposed

Norway Finanstilsynet Warnings

Norway Finanstilsynet Warnings from

foreign regulators

Norway Norges Bank Observation and

exclusion of companies from pension fund portfolio

Poland Office of Competition and

Consumer Protection Consumer Warnings

Polish Financial Supervision Authority Poland General Inspector of Financial

Information Administrative Enforcements

Poland Police Most Wanted Romania Most Wanted List

Romania General Police Inspectorate

Wanted

Romania Authority for Financial

Supervision Insurance Market Alerts from

other Regulators

Romania National Bank Penalties on

Banking institutions

Romania National Integrity Agency

Conflicts of Interest

Serbia Securities and Exchange

Commission Public Censure

Slovakia Office for Public Procurement

Penalties applied

Slovakia National Bank Warnings Slovakia National Bank Final Penalty

Decisions

Sloavkia Ministry of Interior Wanted

Persons

Slovenia Competition Authority Decisions

9

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_







Slovenia Police Most Wanted Slovenia Securities Market Agency

Measures Imposed

Slovenia Securities Market Agency

Warnings

Slovenia Central Bank Disclosure of

Measures imposed

Bank of Spain Sanctions

Spain CNMV Unauthorised Entities and

Warnings

Spain CNMV Unauthorised Entities from

foreign regulators

Spain CNMV Warnings from foreign

regulators

Spain General Directorate of Insurance and Pension Funds Non-authorized entities

Spain Guardia Civil Most Wanted Spain National Securities Market

Commission Enforcements

Spain National Police Wanted Terrorists

Finansinspektionen Sweden

Sweden Competition Authority Decisions

Sweden Financial Inspection Administrative Enforcements

Switzerland Federal Office of Justice

Extraditions

Switzerland FINMA companies insolvency Switzerland FINMA companies placed

under investigative agent

Switzerland FINMA final rulings under Art.

Switzerland FINMA Unauthorized Services

Warnings List

Slovenia Slovenia Slovenia Slovenia Spain Spain Spain Spain

Spain Spain Spain Sweden Sweden

Sweden Switzerland Switzerland

Switzerland Switzerland Switzerland

United Kingdom Gibraltar Supreme Court

Decisions

United Kingdom Gibraltar Warnings United Kingdom Isle of Man Public

Warnings

Jersey Financial Services Commission

Public Statement List

United Kingdom Jersey Financial Commission Public Statements

FCA Final Notices

FCA Warnings

UK Crimestoppers Most Wanted

UK NCA Most Wanted

United Kingdom British Virgin Islands **Financial Commission Enforcement**

United Kingdom Cayman Islands

Fraudulent Websites

United Kingdom Deliberate Tax Defaulters United Kingdom FCA Unauthorized Binary

Options

United Kingdom Gambling Commission Regulatory Sanctions Register-Individuals **United Kingdom Gambling Commission** Regulatory Sanctions Register: Companies

United Kingdom Guernsey Financial

Services Commission Disgualified Directors

United Kingdom Guernsey Financial Services Commission Prohibitions

United Kingdom HM Treasury Monetary

Penalties

United Kingdom Scotland Police Most

Wanted

United Kingdom Serious Fraud Office United Kingdom Takeover Panel Cold-

Shouldering

United Kingdom Unauthorized Internet Banks Warnings England and Wales Court

of Appeal Criminal Division

Czech Republic National Police Wanted Greece Hellenic Gaming Commission **Blacklist**

Greece Hellenic Gaming Commission

Administrative Penalties

North Macedonia Ministry of Internal

Affairs Most Wanted

Ukraine National Bank Institutions in

reorganization

Ukraine National Police Wanted Terrorists United Kingdom United Kingdom United Kingdom United Kingdom Czech Republic

10

Greece

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Belarus Liechtenstein Ukraine Andorra Moldova Moldova Moldova

Belarus Ministry of Tax and Duties High Risk Organisations and Entrepreneurs Belarus Ministry of Interior Most Wanted Liechtenstein Financial Market Authority Warnings

Ukraine Security Service Wanted

Andorra Financial Authority Sanctions to

Supervised Entities

Moldova National Anticorruption Center Sentences

Moldova Police Wanted

Moldova Border Police Wanted

Monaco Commission for the Control of

Financial Activities

Montenegro Agency for Protection of

Competition Decisions

Hungary Police Arrest Warrants

Hungary National Bank Warnings from

foreign regulators

Italy Aribtro Bancario Finanziario

Defaulting Intermediaries

Montenegro Hungary Hungary Italy Montenegro Agency for Prevention of **Corruption Conflict of Interest Decisions**

Finland Competition and Consumer

Protection Authority

Prohibitions and Commitments in

Competition Matters

Finland Nasdaq Helsinki Disciplinary

Committee Decisions Azerbaijan Most Wanted

Iraq Central Bank USD Market Blacklist

Israel Antitrust Authority

Czech National Bank Penalty Decisions Ukraine National Securities and Stock Market Commission Enforcement Actions

Pakistan Federal Investigation Agency

Most Wanted Human

Traffickers and Cyber Criminal

Pakistan Competition Authority Decisions

Pakistan Federal Investigation Agency

Other Wanted Terrorists

Saudi Arabia Washington Embassy

Dubai Financial Services Authority Israel Ministry of Justice Illegal Online

Gamblina

Israel Bank of Israel Money Laundering

Enforcements

Turkey Capital Markets Board Transactions Prohibited List

Turkey Public Procurement Institution

Prohibitions

Turkey Banking Regulation and

Supervision Agency Revoked Permit

Organisations

United Arab Emirates Securities and **Commodities Authority Violations** United Arab Emirates Dubai Financial Services Authority Regulatory Actions United Arab Emirates Securities and **Commodities Authority Warnings**

Morocco Financial Markets Authority

Penalties

Saudi Arabia General Directorate

Investigation Most Wanted

British Columbia Securities Commission

Cease Trade Orders

British Columbia Securities Commission

Denied Persons List

British Columbia Securities Commission

Enforcement

Calgary Police Service

Canada Alberta Securities Commission

Decisions and Orders

Canada Border Services Agency Wanted

Canada British Columbia Securities

Commission List

Canada Competition Bureau Canada IIROC Enforcement Canada IIROC Unpaid Fines

Canada Investment Industry Regulatory

Organisation

Canada Ontario Financial Services

Commission

Canada Quebec Chambre De La Securite

11

Financiere

Canada RCMP Wanted

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Canada Revenue Agency Enforcement notifications

Canada Revenue Agency Revoked Charities

Canadian Securities Administrators Canadian Securities Commission

Canadian Securities Commission Investor

Canada OSFI Warnings Notices

Decisions Bureau de décision et de révision Quebec

Edmonton Police Service

Financial Services Commission of Ontario

Enforcement Activities

Financial Transactions and Reports

Analysis Center of Canada Public Notices Government of Canada Public notice of

administrative monetary penalties

Insurance Councils of Saskatchewan

Disciplinary Decisions

Manitoba Securities Commission **Enforcement Orders Exceptions**

Montreal Exchange Disciplinary Decisions

New Brunswick Financial and Consumer

Services Tribunal

Newfoundland and Labrador Cease Trade Orders

Nova Scotia Securities Commission

Enforcement Proceedings Ontario Provincial Police

Ontario Securities Commission

Peel Regional Police

Saskatchewan Financial Services **Commission Cease Trade Orders**

Secretariat of the Council of the Treasury

of Quebec Toronto Most Wanted Warnings British Columbia Securities

Commission Cease Trade Orders

Warnings Canada CSA Disciplined Persons Insurance Council of British Columbia

Council Disciplinary Decisions

Mexico Banco de Mexico Penalties to

Financial Intermediaries

Mexico Comision Nacional Bancaria y de Valores Sanctions on Moneychangers

Mexico Comision Nacional Seguros Finanzas Other Supervised Entities **Mexico Comision Nacional Seguros** Finanzas Sanctioned Bond Institutions Mexico Comision Nacional Seguros

Finanzas Sanctioned Insurance Agents **Mexico Comision Nacional Seguros**

Finanzas Sanctioned Insurance Institutions

Mexico Comision Nacional Seauros Finanzas Sanctioned Reinsurance

Intermediaries

Mexico Procuradoria General Most

Wanted

Mexico Servicio de Administracion Tributaria Definitive List Organisations Mexico Servicio de Administracion

Tributaria Definitive List Persons Bermuda Monetary Authority

United Kingdom Bermuda Monetary

Authority Enforcement Actions

District of Columbia Excluded Parties List

Warning FBI Most Wanted

Fincen Money Laundering Concern List -

311 Special Measures

Foreign Agents Registrations Guantanamo Bay Detainees **NYSE Non Compliant Issuers**

Sanctioned USA Alabama Dept of

Corrections 2

U S Department of Housing and Urban **Development Limited Denials of**

Participation

U S Department of State Consent

Agreements

United States Alabama Baldwin and

Etowah County Inmates

United States Alabama Calhoun County

Inmates

United States Alabama Cherokee and

Franklin County Inmates

United States Alabama Fayette County

United States Commodity Futures Trading

12

Commission Red List

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





United States Department of Justice Foreign Corrupt Practices Act Related **Enforcements Organisations**

United States Department of Justice Foreign Corrupt Practices Act Related

Enforcements Persons

United States Department of State War Crimes Rewards Program Fugitives from **Justice**

United States Environmental Protection **Agency Fugitives**

United States FDIC Enforcements

United States Federal Reserve Prohibition

from Bankina

United States FinCen Enforcement for Failure to Register as a Money Services **Business**

United States FINRA Disciplined Firms Tapina Rule

United States National Credit Union **Administration Enforcements**

United States OCC Enforcement Banks

United States OCC Enforcement

Companies

United States OCC Enforcement **Individuals**

United States Office of the Comptroller of the Currency Unauthorized Banks Alerts **Archive**

United States SEC Fictitious Governmental Agencies Archive

United States SEC Fictitious Governmental

Agencies Main List

United States SEC PAUSE Impersonators Main List

United States SEC Trade Suspensions Unverified List (UVL) - US Bureau of Industry and Security

US Air Force Fugitives

US Arizona Department of Insurance

US Army Most Wanted

US Bureau of Alcohol Tobacco Firearms and Explosives Commission Most Wanted **US DEA Fugitives**

US Department of Commerce Denied

US Immigration and Customs Wanted

US Marshals Wanted

US Office of the Comptroller of the

Currency

US Ohio Department of Corrections

Wanted

US Pennsylvania General Services

Department Debarment List

US Postal Inspection Service Most Wanted

US Redding Most Wanted US Rewards for Justice

US Securities and Exchange Commission

Unregistered Soliciting Entities US-Individuals Barred by FINRA USA Alabama Dept of Corrections 3

Warnings United States FINRA Disciplinary

Actions Online

Warnings USA Alabama Dept of

Corrections 1

Warnings USA Florida Department of

Corrections

Warnings USA SEC Litigation Releases **US Treasury FinCEN Enforcement Actions**

United States OCC Enforcement

Individuals

United States OCC Enforcement

Companies

United States National Credit Union

Administration Enforcements

United States Office of the Comptroller of the Currency Unauthorized Banks Alerts United States Commodity Futures Trading **Commission Enforcement Actions Persons United States Commodity Futures Trading**

Commission Enforcement Actions

Organisations

United States Department of Treasury

Office of Foreign Assets

Control Advisory on Sanctions Risks

related to Syria Shipping

United States CME Group Disciplinary

Actions Organisations

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml



@artaml_ **[**]



United States Department of Health and **Human Services**

Office of the Inspector General Fugitives and Convicts

United States Public Company Accounting **Oversight Board Enforcements**

Organisation

United States Public Company Accounting **Oversight Board Enforcements Person** United States Department of Justice Securities and Financial Fraud Persons Puerto Rico Police Most Wanted

United States CME Group Disciplinary

Actions Persons

United States Department of Justice Securities and Financial Fraud

Organisations

US Narcotics Rewards Program Wanted List

United States Federal Reserve Board **Enforcement Actions Organisation** United States Federal Reserve Board

Enforcement Actions Person United States Federal Maritime **Commission Enforcements**

United States Occupational Health and Safety Administration Enforcements United States Office of the Comptroller of the Currency

Unauthorized Banks Alerts Archive United States Department of Treasury Office of Foreign Assets Control Guidance on Addressing North Korea Illicit Shipping **Practices**

United States Securities and Exchange Commission Administrative Proceedings -

United States Securities and Exchange Commission Administrative Proceedings organisation

Minessota Department of Corrections Most District of Columbia Department of Corrections

Rhode Island Top 10 Wanted

Massachusetts Department of Correction

New York State Police Most Wanted **Delaware Crimestoppers**

Washington State Patrol Most Wanted Florida Highway Patrol Most Wanted **Fugitives**

Louisiana Department of Justice Most Wanted

Missouri State Highway Patrol Most Wanted

Kansas Bureau of Investigation Most Wanted

Tennessee Bureau of Investigation Most Wanted

California LAPD Most Wanted California San Francisco Most Wanted Illinois State Police Most Wanted

New Jersev State Police Most Wanted

Nevada Police Most Wanted

Rhode Island Sex Offenders Level II Rhode Island Sex Offenders Level III

Maryland Department of Public Safety and

Correctional Services Most Wanted United States Delaware Department of **Correction Fugitives**

United States Washington Department of

Correction Active Warrants

United States Arkansas Department of

Corrections Escapees

United States Georgia Department of **Corrections Most Wanted Fugitives** United States Louisiana Department of

Corrections Most Wanted

United States Missouri Information

Analysis Center Most Wanted

United States Tennessee Department of

Corrections Most Wanted

United States South Carolina Department of Probation, Parole and Pardon Services **Most Wanted**

14

California Los Angeles Probation Most

Wanted Indiana Department of Corrections

Warrants

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Alabama Department of Corrections Escapees

Texas 10 Most Wanted Sex Offenders

Texas Fugitives Still Wanted

Texas Department of Criminal Justice Most

Michigan Department of Corrections Wanted

Nevada Department of Corrections Escapees

Ohio Department of Rehabilitation and **Correction Escapees and Absconders West Virginia Department of Corrections** United States Alabama Police Most Wanted

United States Idaho Department of **Correction Most Wanted**

United States South Dakota Department of Correction Escapees and Walk Aways

United States South Dakota Department

of Correction Parole Absconders United States Oklahoma Department of

Corrections Fugitives

United States South Carolina Department

of Corrections Escapees

United States Minnesota Predatory

Offender Registration

United States North Carolina Department

of Public Safety Escaped Inmates

United States Pennsylvania Crime

Stoppers Warrants

United States Kansas Department of

Corrections Absconders

United States Iowa Most Wanted Sex

Offenders

United States Michigan Sex Offenders

Wanted Absconders

United States District of Columbia Sex

Offenders

United States Minessota Sex Offenders

United States Minessota Sex Offenders

with Unknown Address

United States Missouri State Highway

Patrol Absonded Sex Offenders

United States New York Department of **Financial Services Enforcement Actions** organisations

United States New York Department of **Financial Services Enforcement Actions** persons

United States New York Department of Financial Services Mortgage Enforcement Actions organisations

United States New York Department of Financial Services Mortgage Enforcement Actions persons

United States Delaware Department of **Insurance Enforcement Actions and Fines** United States Connecticut Insurance **Department Enforcement Actions** United States Wyoming Non-compliant

United States Connecticut Non-compliant Sex Offenders

United States Delaware Fraud and

Consumer Protection

Sex Offenders

Division Administrative and Director

Orders

United States Washington Department of Financial Institutions Securities Fraud **Alerts**

United States Washington Office of the Insurance Commissioner Insurance Fraud

Most Wanted

United States New Hampshire Sex

Offenders

United States Michigan Department of Insurance and Financial Services **Consumer Finance Prohibitions**

United States Michigan Department of Licensing and Regulatory Affairs

Disciplinary Actions

United States California Department of Insurance Enforcement Actions persons United States California Department of **Insurance Enforcement Actions**

organisations

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml 9 @artaml_ 1





United States New Jersey Department of Banking and Insurance Insurance Division Companies in Rehabilitation/Liquidation United States Wyoming Secretary of State Securities 202 Enforcements United States District of Columbia Department of Insurance, Securities and Banking Insurance Administrative Actions **Organisations**

United States District of Columbia Department of Insurance, Securities and Banking Insurance Administrative Actions Persons

United States District of Columbia Department of Insurance, Securities and Banking Securities Administrative Actions **Organisations**

United States District of Columbia Department of Insurance, Securities and **Banking Securities Administrative Actions** Persons

United States District of Columbia Department of Insurance, Securities and Banking Banking Administrative Actions United States Iowa Insurance Division **Enforcement Orders and Actions** United States Massachusetts State Police Most Wanted

United States Massachusetts State Police Sex Offender Most Wanted

United States New York Department of **Corrections Most Wanted**

United States Florida Department of Law **Enforcement Sex Offenders**

United States Tennessee Bureau of **Investigation Wanted Sex Offenders** United States Pennsylvania Non-compliant Sex Offenders

United States Texas Department of Public Safety Captured Fugitives

United States District of Columbia Courts **Active Warrant List**

United States Oklahoma Sex Offenders United States New Jersey Non-Compliant Sex Offenders

United States Alaska Non-Compliant Sex

United States Idaho State Police Violent Sexual Predators

United States Idaho State Police Noncompliant Sex Offenders

United States North Dakota Deliquent Sex Offenders

United States Utah Non-compliant Sex Offenders

United States Maine Drug Enforcement **Agency Fugitives**

United States New Mexico Non-compliant Sex Offenders

United States Ohio Non-compliant Sex Offenders

United States Delaware Wanted Sex Offenders

United States Alaska Sex Offenders United States Department of Justice Foreign Agents Registration Act recent cases

United States Vermont Sex Offenders List United States Tennessee Department of Commerce and Insurance Securities **Division Enforcement Actions persons** United States Tennessee Department of Commerce and Insurance Securities Division Enforcement Actions organisation United States New Hampshire Insurance Department Enforcement Actions person United States New Hampshire Insurance **Department Enforcement Actions** organisation

United States South Dakota Department of Labor and Regulation Division of Insurance Administrative Actions United States Nebraska Department of **Insurance Legal Actions Against Companies**

United States Nebraska Department of Insurance Legal Actions Against Agencies and Agents

16

United States Idaho Department of **Finance Administrative Actions**

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





United States Indiana Department of Insurance Enforcement Actions United States South Carolina Attorney General Securities Division Indictments United States Alaska Department of **Insurance Fraud Convictions** United States Nevada Department of Business and Industry Enforcement Actions United States West Virginia State Auditors Office Cease and Desist Orders Persons United States South Dakota Department of Labor and Regulation Division of Insurance Securities Administrative **Actions**

United States New Jersey Department of Banking and Insurance Insurance Division **Enforcement Actions Organisations Desist Orders Persons**

United States West Virginia State Auditors Office Cease and Desist Orders **Organisations**

United States Wisconsin Office of the Commissioner of Insurance Administrative **Actions**

United States Connecticut Department of **Banking Enforcement Actions** organisations

United States West Virginia Division of Financial Institutions Administrative Actions

United States Colorado Department of Regulatory Agencies Securities Division **Enforcement Actions persons** United States Colorado Department of Regulatory Agencies Securities Division **Enforcement Actions organisations** United States Michigan Department of Insurance and Financial Services Bank and Credit Union Prohibitions and Removals United States Michigan Department of Insurance and Financial Services Final **Decisions - Persons**

United States Maine Office of Securities **Enforcement Measures Persons**

United States Maine Office of Securities **Enforcement Measures Organisations** United States Maine Bureau of Insurance Disciplinary Measures United States Utah Insurance Department Most Wanted United States Pennsylvania Insurance Department Enforcement Actions Persons United States Pennsylvania Insurance **Department Enforcement Actions Organisations**

United States Utah Insurance Department Administrative Actions Organisations United States Utah Insurance Department Administrative Actions Persons United States Pennsylvania General Services Department Debarment List **Organisations**

United States Arizona Department of Insurance Persons

United States Rhode Island Department of **Business Regulation Enforcements Persons** United States New Jersey Department of Banking and Insurance Insurance Division Fraud Deterrence Enforcement Actions United States Mississippi Secretary of State Securities Division Enforcements **Organisations**

United States Mississippi Secretary of State Securities Division Enforcements Persons

United States Maine Bureau of Consumer Credit Protection Disciplinary Measures **Organisations**

United States Maine Bureau of Consumer Credit Protection Disciplinary Measures **Persons**

United States Louisiana Office of Financial Institutions Securities Division Criminal **Prosecutions**

United States Colorado Department of Regulatory Agencies Banking Consumer

United States Alabama State Banking **Department Administrative Actions**

17

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





United States North Carolina Banking **Commission Enforcement Actions** United States South Carolina Department of Insurance Enforcement Orders United States Nebraska Department of Banking and Finance Administrative **Actions Organisations** United States Nebraska Department of Banking and Finance Administrative **Actions Persons** United States Missouri Division of Finance

Removal and Prohibition Orders United States Indiana Secretary of State Securities Division Administrative Actions United States Illinois Department of Financial and Professional Regulation **Enforcement Actions Financial Institutions** United States Kentucky Department of Financial Institutions Other Enforcement **Actions Organisations**

United States Kentucky Department of Financial Institutions Other Enforcement **Actions Persons**

United States Missouri Department of **Insurance Enforcement Actions United States Arkansas Securities** Department Enforcements Organisations United States Arkansas Securities **Department Enforcements**

United States Tennessee Department of Commerce and Insurance Disciplinary Actions on Insurance Agents and **Producers**

United States Arizona Department of **Public Safety Sex Offenders** United States Arkansas Insurance Department Legal Orders United States Ohio Department of **Commerce Enforcement Actions** United States Connecticut Department of Banking Enforcement Actions persons

Mexico CONDUSEF National Commission for the Protection and Defense of Users of

Financial Services

Mexico Comision Nacional de Seguros Y Fianzas - Sanciones a personas que intermediaron sin autorización Canada London Ontario Police Wanted Canada London Ontario Police Most Wanted

Canada New Brunswick Financial and **Consumer Services Commission Caution** List

Canada Police of Quebec Most Wanted Canada British Columbia Financial Services Authority Mortgage Brokers **Enforcements**

United States National Futures Association **Disciplinary Actions**

Canada British Columbia Financial Services Authority Financial Institutions **Enforcements**

Canadian Securities Administrators Cease Trade Orders United States Office of Foreign Assets Control Civil Penalties **Enforcement Actions**

United States Montana Board of Pardons and Parole Absconders and Escapees United States Indiana Secretary of State **Auto Dealer Services Division**

Administrative Actions

United States Colorado Department of Corrections Absconders Walkaways and Escapees

United States Colorado Wanted Sex Offenders

United States Illinois Department of Insurance Fraud 305 Convictions United States SEC Trade Suspensions Australia ASIC Banned and Disqualified **Organizations**

Australia ASIC Fake Regulators Australia ASIC List of Unlicensed

Companies

Australia AUSTRAC Civil Penalty Orders Australia AUSTRAC Infringement Notices Australia AUSTRAC Remedial Directions Australia AUSTRAC Remittance **Registration Actions**

18

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_





in @artaml 9 @artaml_ 1



Australia AUSTRAC Written Notices Australia Competition and Consumer **Commission Infringement Notices** Australia Competition and Consumer

Commission Public Warnings

Australia Transaction Reports and Analysis

Centre Enforceable Undertakings Australia Securities Exchange

Enforcements

Australia Securities and Investment **Commission Enforceable Undertakings** Persons

Australia Securities and Investment **Commission Enforceable Undertakings Organisations**

New Zealand Commerce Commission

New Zealand FDAC Decisions

New Zealand FMA

New Zealand FMA Court Cases

New Zealand FMA Orders

New Zealand FMA Warnings Archive

New Zealand Police

New Zealand Putea Matua Reserve Bank Australia Australian Prudential Regulatory

Authority Enforcement Actions

Argentina Federal Police Wanted Fugitives Argentina Financial Intelligence Unit AML

Non-compliance record

Argentina Ministry of Justice Most Wanted

Argentina National Bank Summary

Proceedings

Argentina National Bank Summary

Proceedings Companies

Argentina National Institute of

Associativism and Social Economy

Suspended Cooperatives

Argentina National Institute of

Associativism and Social Economy

Suspended Mutual Funds

Argentina National Securities Commission

Disciplinary Resolutions Argentina

National Securities Commission Warning

Notes

BR-National Register of Punished

Companies CNEP (CEAF) Intermediation

Persons

Brazil Council for Financial Activities

Control

Brazil Ministerio Publico Federal Operation

Maus Caminhos

Brazil Policia Federal Most Wanted List

Warninas

Brazil Sanctions National Registry Of Ineligible And Suspended Companies Brazil Warnings Policia Civil Rio Grande do

Sul Wanted

Brazil Ministry of Labor Dirty List of Slave

Labor

Chile Investigation Police Most Wanted Chile Superindendency of Pensions

Penalties registry

Chile Superintendency for Gambling

Penalty Decisions

Colombia Autorregulador del Mercado de

Valores Sanctions

Peru Superintendency of Banks, Insurance and Private Pensions Penalties applied for

AML breaches

Peru Superintendency of Banks, Insurance and Private Pensions Unauthorized entities

Peru Superintendency for Securities

Markets Penalties Applied

Uruguay Central Bank Register of Infringers to the Law of Checks

Chile Commission for Financial Markets

Warnings from Foreign regulators Peru National Contractors Register

Disqualifications and Fines

Brazil Banco Central Administrative

Penalty Process

Brazil Comissao de Valores Mobiliaros

Leniency Agreements

BR-Register of Non-Profit Entities CEPIM Brazil - Warning - Registration of Federal

19

Administration Expulsions **Brazil Civil Police Wanted**

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com









Brazil Comissao de Valores Mobiliaros Suspensions for Irregular Intermediation **Organisations**

Brazil Comissao de Valores Mobiliaros Suspensions for Irregular

Brazil Comissao de Valores Mobiliaros Alerts on Unauthorized Activity Persons Brazil Comissao de Valores Mobiliaros Alerts on Unauthorized Activity **Organisations**

Brazil Ministerio Publico Federal Operation Lava Jato Criminal Actions Organisations Brazil Ministerio Publico Federal Operation Lava Jato Criminal Actions Persons Brazil Comissao de Valores Mobiliaros

Suspended Registrations Brazil Comissao de Valores Mobiliaros

Cancelled Registrations Costa Rica General Superintendence of

Securities Investor Alerts Costa Rica Judicial Investigation

Organism Most Wanted

Ecuador Superintendence of Banks

Unauthorized Entities Ecuador Superintendence of Companies

Securities and Insurance Stock Market **Violations**

Costa Rica General Superintendence of Securities Disciplinary Measures Panama Superintendency of the Stock Market of Panama Cancelled Licenses Panama Intendance of Supervision and Regulation of Non-Financial Subjects **Pecuniary and Administrative Sanctions** Panama Superintendency of Banks of Panama Pecuniary Sanctions

Panama Superintendency of Banks of

Panama Warning List

Panama Superintendency of the Stock Market of Panama Pecuniary Sanctions Panama Superintendence of Insurance and Reinsurance of Panama Pecuniary Sanctions

Panama Autonomous Cooperative **Institute Pecuniary Sanctions**

Costa Rica General Superintendence of Securities Disciplinary Measures

Panama Superintendency of the Stock Market of Panama Cancelled Licenses Panama Intendance of Supervision and

Regulation of Non-Financial Subjects **Pecuniary and Administrative Sanctions**

Panama Superintendency of Banks of

Panama Pecuniary Sanctions

Panama Superintendency of Banks of

Panama Warning List

Panama Superintendency of the Stock Market of Panama Pecuniary Sanctions Panama Superintendence of Insurance and Reinsurance of Panama Pecuniary Sanctions

Panama Autonomous Cooperative **Institute Pecuniary Sanctions** Panama Superintendency of the Stock Market of Panama Fines and Penalties Ecuador Internal Revenue Service Ghost

Companies

Nicaragua Superintendence of Banks and other Financial Institutions Warnings Nicaragua Superintendence of Banks Administrative Sanctions

Chile Santiago Stock Exchange

Resolutions

Colombia National Directorate of Tax and

Customs Sanctioned Entities

African Development Bank Debarment

and Sanctions Procedures

America IDB Debarred Firm List

European Bank for Reconstruction and Development (EBRD) ineligible entities **United Nations Development Program** Ineligibility List Persons World Bank Non-

responsible vendors

World Bank Other Procurement Sanctions Hong Kong Mandatory Provident Fund Schemes Authority Enforcement News Hong Kong Securities Futures Commission Bank Negara Malaysia Enforcement **Actions**

20

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





Bank Negara Malaysia Enforcement **Actions Companies Warning Letters** Bank Negara Malaysia Enforcement **Actions Invalid Licences Securities** Commission Malaysia Compounded Cases Philippines Securities and Exchange Commission

Singapore ACRA PAOC Orders issued after audit

Singapore ACRA PAOC Suspensions Singapore Competition Consumer Commission Anticompetitive Behavior Singapore SGX Directors and Executives Watchlist

Singapore Singapore

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 -**Ahmedabad**

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Chhattisgarh

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Coimbatore

India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Uttarakhand

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Shillong India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Pune India Ministry of Corporate Affairs List of Disgualified Directors Section 164 **Puducherry**

India Ministry of Corporate Affairs List of Disqualified Directors Section 164

Vijayawada

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Jammu & Kashmir

India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Goa India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Ernakulam

India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Kolkata India Ministry of Corporate Affairs List of Disgualified Directors Section 164 **HimachalPradesh**

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 **Jharkhand**

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Gwalior 2016

India Ministry of Corporate Affairs List of Disqualified Directors Section 164 Jaipur India Ministry of Corporate Affairs List of Disgualified DirectorsSection 164 Hvderabad

Russian Federation Federal Tax Service

Legal entities whose executive bodies include disqualified persons India Ministry of Corporate Affairs List of Disgualified Directors Section 164 Delhi **Danish Financial Services Authority** Germany Bundesministerium fur Innern Extremist organisations under observation Germany Bundesministerium fur Innern Persons from Extremist Organisations under observation

Ireland Central Bank Prohibition Notices Ireland Competition and Consumer **Protection Commission Criminal Court** Cases

Ireland Competition and Consumer **Protection Commission Criminal Court** Cases Organisations

Ireland Office of the Director of Corporate Enforcement

Ireland Office of the Director of Corporate Enforcement

21

Ireland Revenue Defaulters List Irish Companies Registration Office Latvia Anti Corruption Bureau Administrative Violations Romania National Integrity Agency

disqualifications **Guernsey Registry**

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml





FSC Disqualified Commission United Kingdom Jersey Financial Services Commission Restricted Individuals Fitness Probity UK FSA Prohibited Individuals Insolvency Service UK Disqualified Directors Solicitors Discipline Tribunal

UK Company House Disqualified Directors Register UK Insolvency The Gazette Special inspector general for afghanistan reconstruction

Pakistan Public Procurement Regulatory **Authority Blacklisted Firms**

Canada Chartered Professional

Accountant of Ontario

Canada Law Society Tribunal

Canadian Securities Commission Part 2 Canadian Securities Commission Part 3 Canadian Securities Commission Part 4 Canadian Securities Commission Part 5 Insurance Council of Manitoba Disciplinary **Decisions**

Mutual Fund Dealers Association of **Canada Enforcement Hearings** The Manitoba Securities Commission (Suspended) Canada Public Works and Government Services Canada Ineligible and suspended suppliers

Mexico - National Banking Securities Commission

Mexico Comision Nacional Bancaria De **Valores Sanctions**

Entity List (EL) - US Bureau of Industry and Security

Federal Deposit Insurance Corporation Failed Bank List

Fitness Probity US Medicaid Exclusions Lists OIG LEIE

Florida Agency for Healthcare Admin **Medicaid Sanctioned Providers SEC Trading Suspensions**

United States Department of Justice **Executive Office for Immigration Review Disciplined Practitioners**

United States United States

United States FDIC Prohibition under Section 19

United States Federal Reserve Section 19 Letters

United States System for Award Management Exclusions excl OFAC US Alabama Medicaid Suspended **Providers**

US Arkansas Department of Health Excluded Providers List

US Connecticut Department of Social Services Administrative Actions List **US Consumer Financial Protection Bureau US Excluded or Terminated Montana**

Medicaid Providers

US FDA Clinical Investigators Disqualification Proceedings List US FDA Debarment List Enforcement **Actions Drug Product Applications** US Georgia Bureau of Investigation **US Georgia OIG Exclusions List US Hawaii Medicaid Excluded Providers US KY Medicaid Termination and Exclusion**

List **US Louisiana Department of Health** Hospitals Exclusion List

US Maine Medicaid Exclusion List US Maryland Dept of Health Mental Hygiene Exclusions List

US Massachusetts Health and Human Services List of Suspended or Excluded MassHealth Providers

US Michigan Department of Community Health List of Sanctioned Providers US Minnesota Department of Human Services Excluded Provider Lists **US Mississippi Gaming Commission Exclusion List**

US Missouri Department of Social Services Medicaid List of Terminated Providers US Nevada Gaming Control Board **Excluded**

22

US Nevada GCB Most Wanted

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml



@artaml_ **[**]



US New Jersey Office of the State Comptroller Consolidated Debarment Report

US New York Office of the Medicaid Inspector General List of Exclusions **US OCC Enforcement Actions List Archive** til 2011

US State Department AECA Debarments US-Chicago Board Options Exchange Disciplinary

US-Nasdag Trader PHLX Disciplinary Actions

US-NYSE Regulation: Disciplinary Actions United States Military Health System **Excluded Providers Organisation** United States Military Health System **Excluded Providers Person**

United States Federal Housing Finance Agency Suspended Counterparty Program Person

United States Federal Housing Finance Agency Suspended Counterparty Program Organisation

United States Federal Trade Commission Entities Banned from Debt Relief Canada Canadian Institute of Chartered

Accountants Ontario 55 Disciplined

Accountants Decisions

ASIC Disqualified Organisations

Australia ARPA Disqualifications

Australia ASIC Notices

Australia Disqualified Officers

Fitness Probity Australia APRA

Disqualification Register

Australia Office of the Registrar of

Indigenous Corporations Disqualified

Directors

New Zealand FMA Management Bans

New Zealand Serious Fraud Office

Brazil Banco Central Disqualifications

Brazil Comptroller General Leniency

Agreements

Chile Unidad de Análisis Financiero

Colombia Financial Superintendence

Paraguay National Directorate of Public Procurement Penalties and Disqualifications

ArtAML Ltd. | +44 203 488 2966 | contact@artaml.com | artaml.com



@artaml_



in @artaml



@artaml_



@artmarketaml